

**GAÏA**

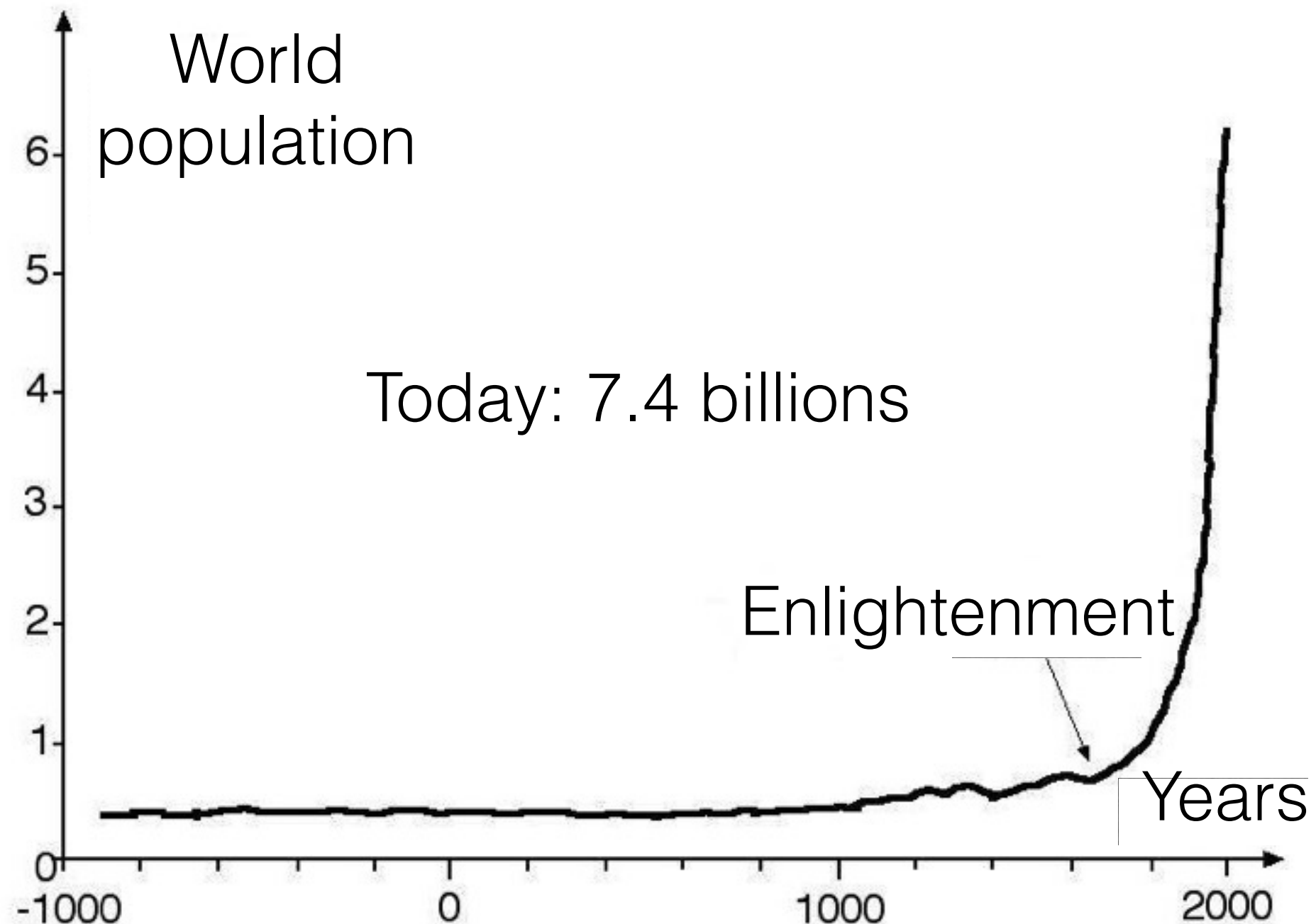
or:

**The awakening of a  
consciousness**

(Thoughts about the future of mankind)

Paris (ESA), October 7, 2016

# What is going on?



# Thomas Robert Malthus

**1800:** population grows exponentially, but agricultural production does not.



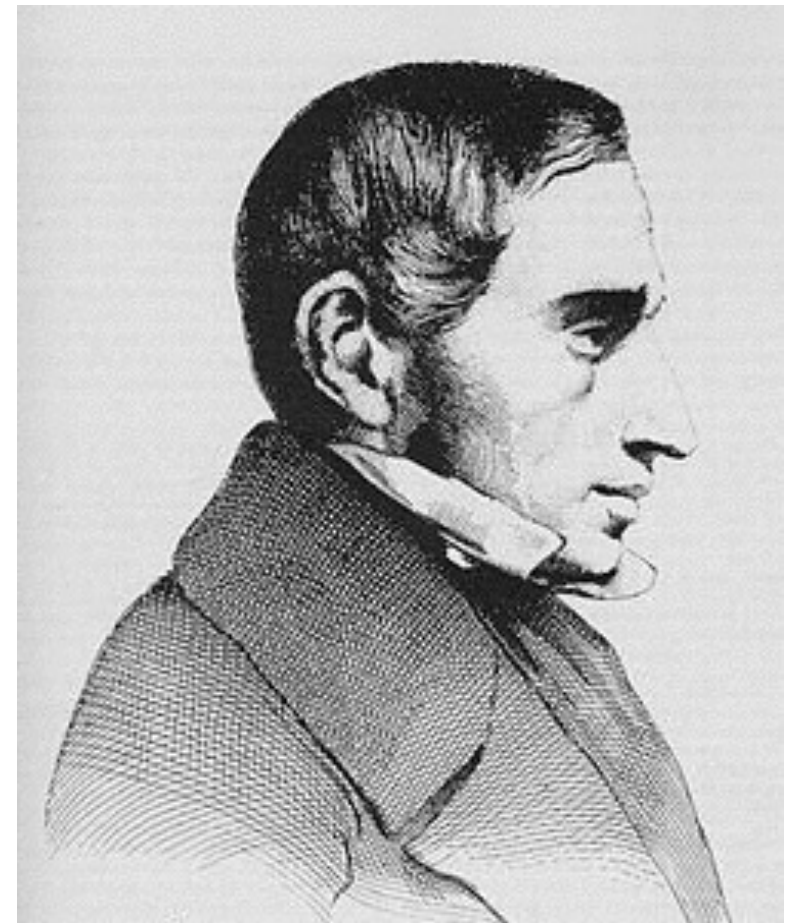
Thomas Robert Malthus  
(1766-1834)

# Pierre Franois Verhulst

1838: **Verhulst** models evolution, through the empirical equation:

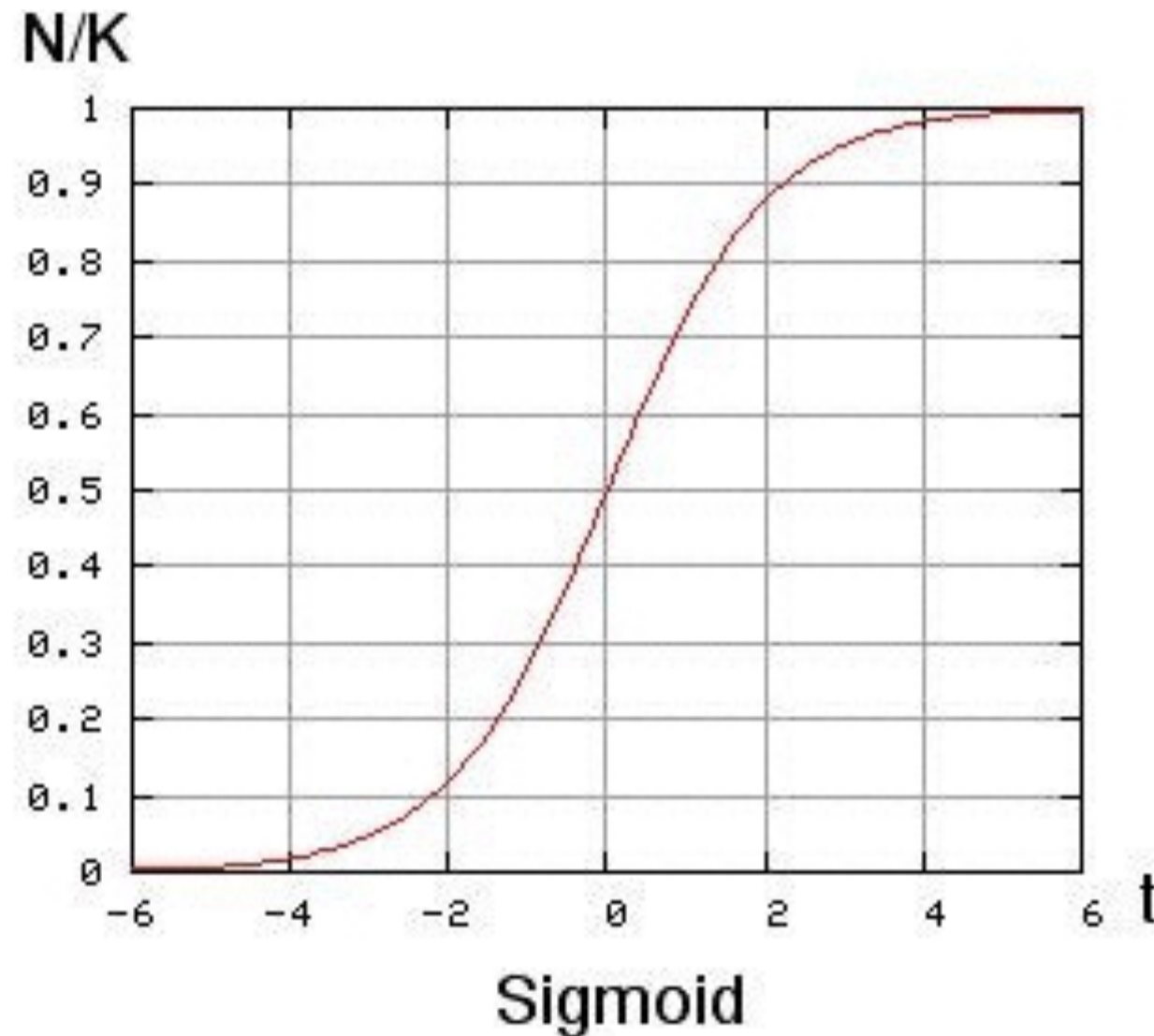
$$\frac{dN}{dt} = rN \left( 1 - \frac{N}{K} \right)$$

the solution of which is a sigmoïd.

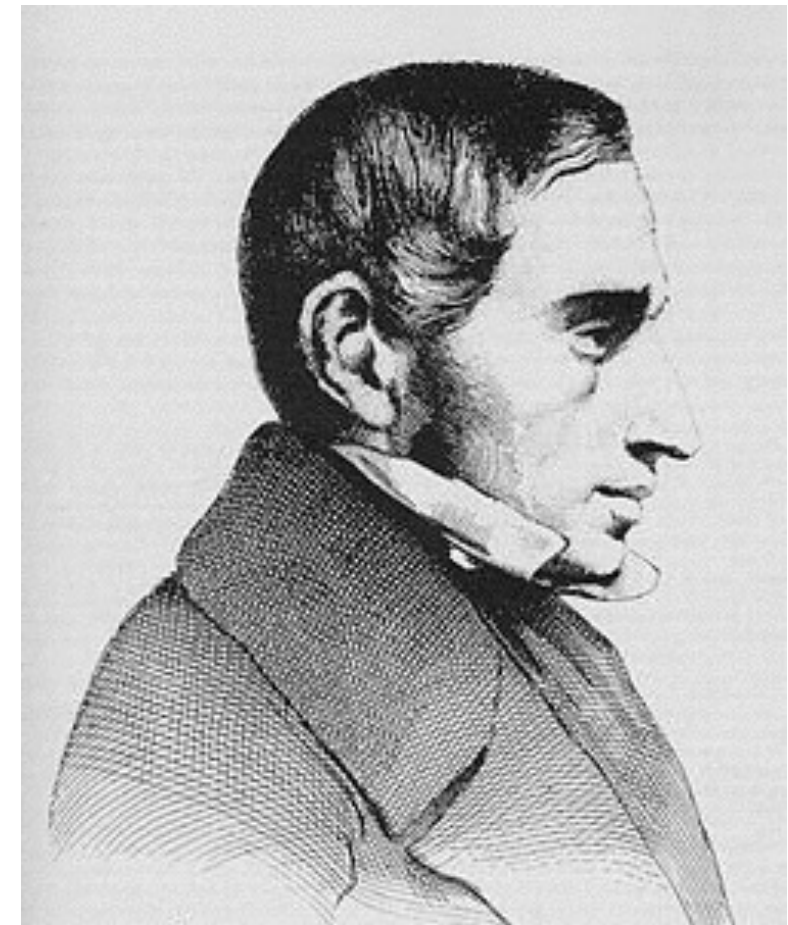


Pierre Franois Verhulst  
(1804-1849)

# Pierre François Verhulst



**Demographic transition**



Pierre François Verhulst  
(1804-1849)

# Charles Darwin

**1859:** Living organisms adapt themselves to their environment through ***natural sélection.***



Charles Darwin  
(1809-1882)

# Ludwig Boltzmann

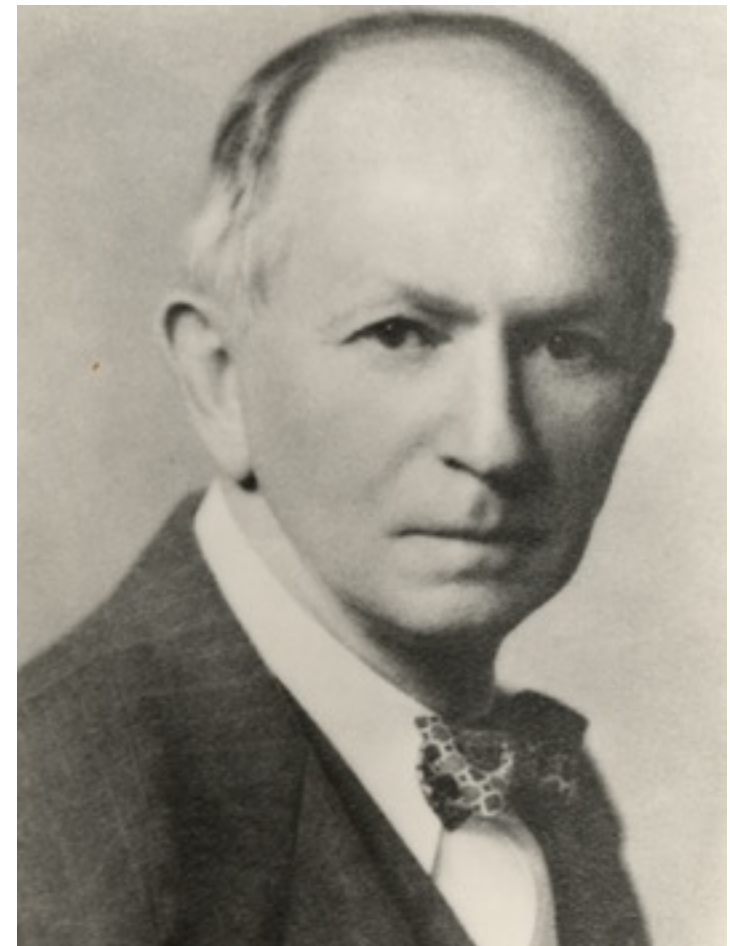
**1905:** The struggle for life is a struggle for ***energy dissipation*** (*entropy* production).



Ludwig Boltzmann  
(1844-1906)

# Alfred Lotka

**1922:** Natural selection favors those organisms that dissipate the most energy (produce the most *entropy*). It acts as if it were a ***third law of thermodynamics***.



Alfred Lotka  
(1880 - 1949)



# Claude Shannon

*Entropy = Loss of information*

**1861:** Gibbs's paradox.

**1861:** Maxwell's devil.

**1929:** Leo Szilard

**1944:** Erwin Schrödinger

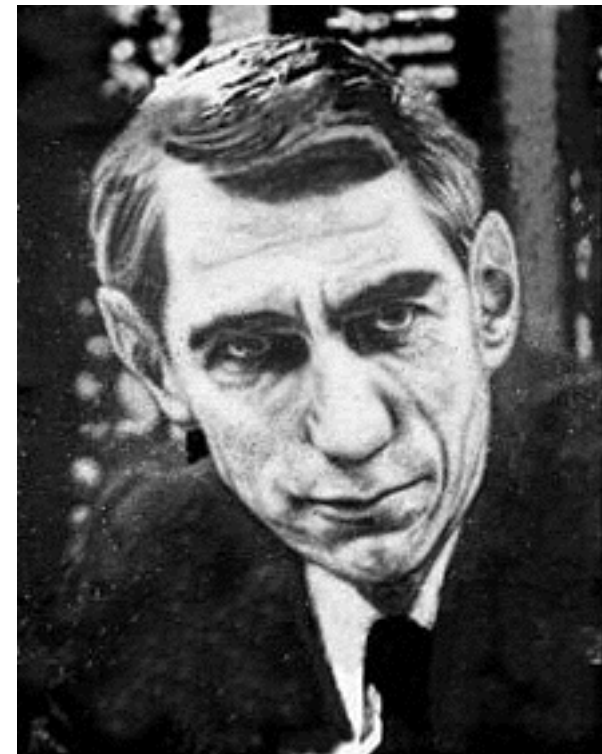
**1948:** Claude Shannon

**1956:** Leon Brillouin

**1961:** Ralph Landauer

**1972:** Charles Bennett

**1982:** Ed Fredkin



Claude Shannon  
(1916 - 2001)

*Experimental proof in **2015** (Lutz et al).*

# What is information?

**Information** = whatever can be ***memorized***  
(in a brain or any other physical device).

**Information** introduces a **delay** between an event and its consequences (**hysteresis**).

The area inside a **hysteresis cycle**  
measures the amount of energy dissipated.

# Georgescu Roegen

**1971:** When dissipating energy, human societies produce *entropy* which affects their environment

*First application of  
**thermodynamics to  
economy.***



Nicholas Georgescu-Roegen  
(1906-1994)

# Ilya Prigogine

**1961:** In the presence of a permanent flow of energy, structures (said to be dissipatives) ***self-organize*** to dissipate energy.

***Examples:*** a cyclone, a living being, a human society.



Ilya Prigogine  
(1917-2003)

# Ilya Prigogine

An ensemble of interacting **dissipative structures** is also a dissipative structure:

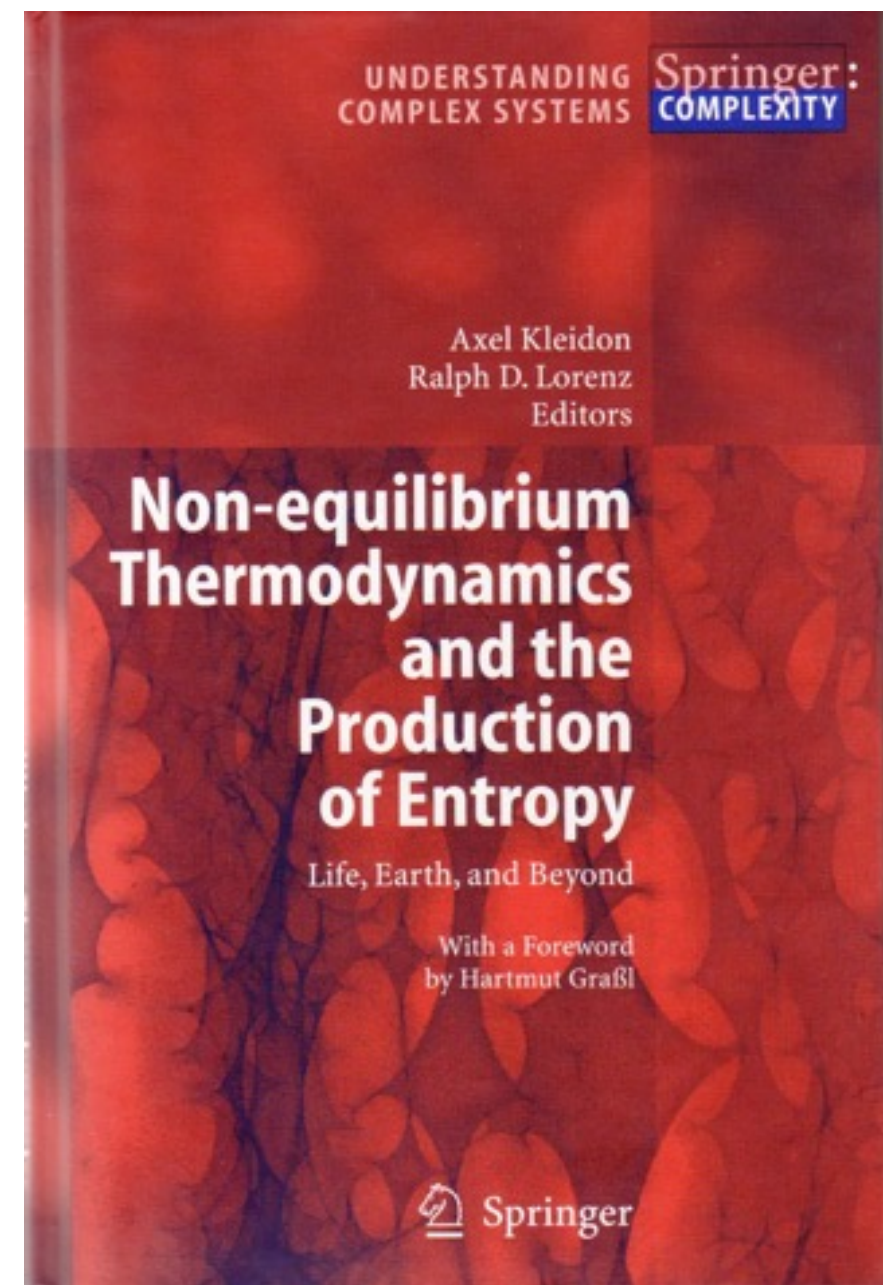
- **The atmosphere of Earth.**
- **An animal or vegetal species.**
- **A human society.**



Ilya Prigogine  
(1917-2003)

# Maximum entropy production (2003)

Physicists and biologists  
present convincing  
evidence for Lotka's law:  
***dissipative structures  
maximise their entropy  
production.***





# Per Bak

Dissipative structures self-organize like a **continuous phase transition** (a phase change near a critical point).



Per Bak  
(1948-2002)

# Example of self-organization

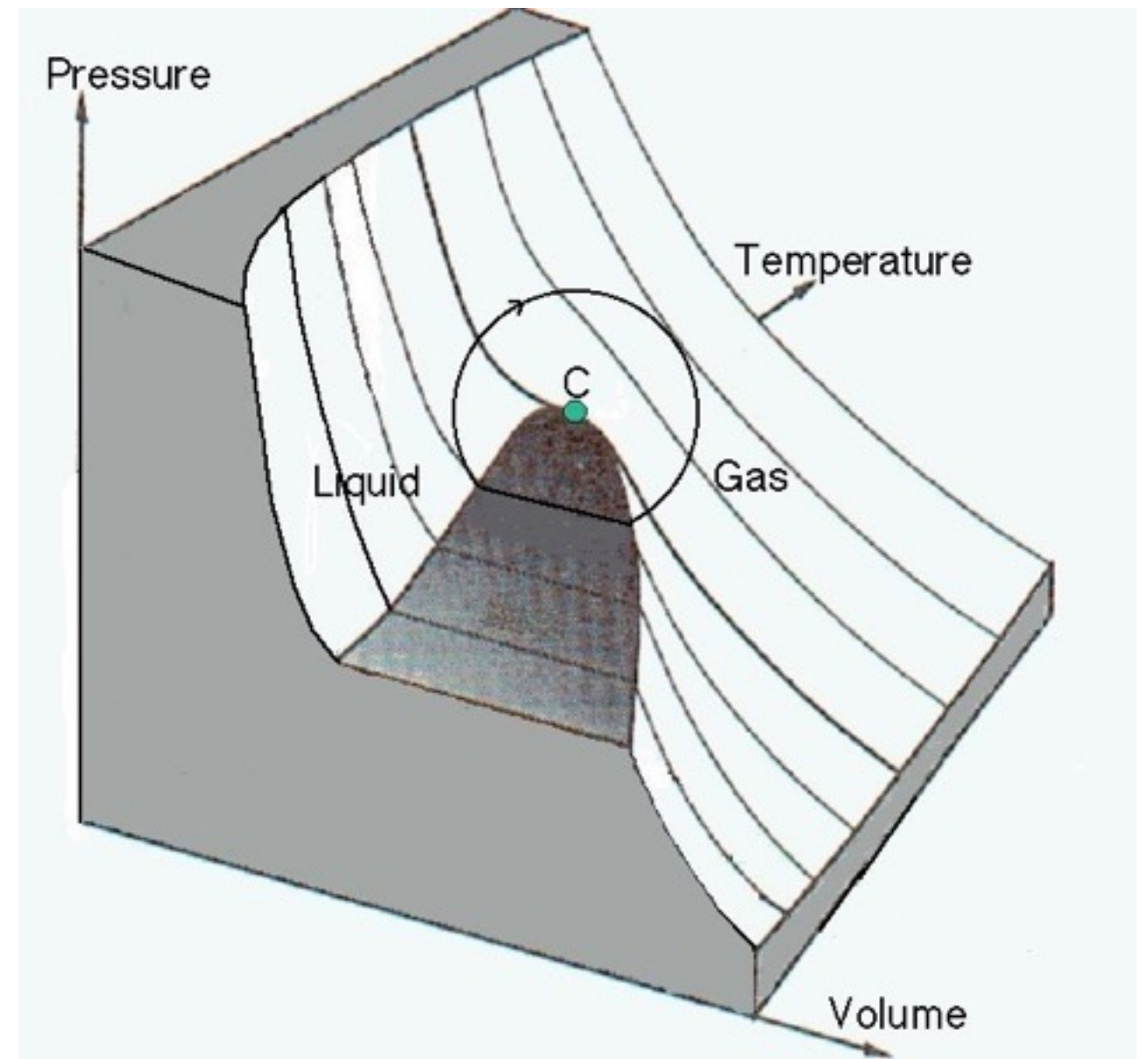


Abrupt phase transition



# Cycle around a critical point

The transition is **continuous** in the light zone. It is **abrupt** in the dark zone (condensation).



# Per Bak

Dissipative structures self-organize around a dynamical **critical point**. Per Bak has given this process the name of:

***Self-organized criticality.***



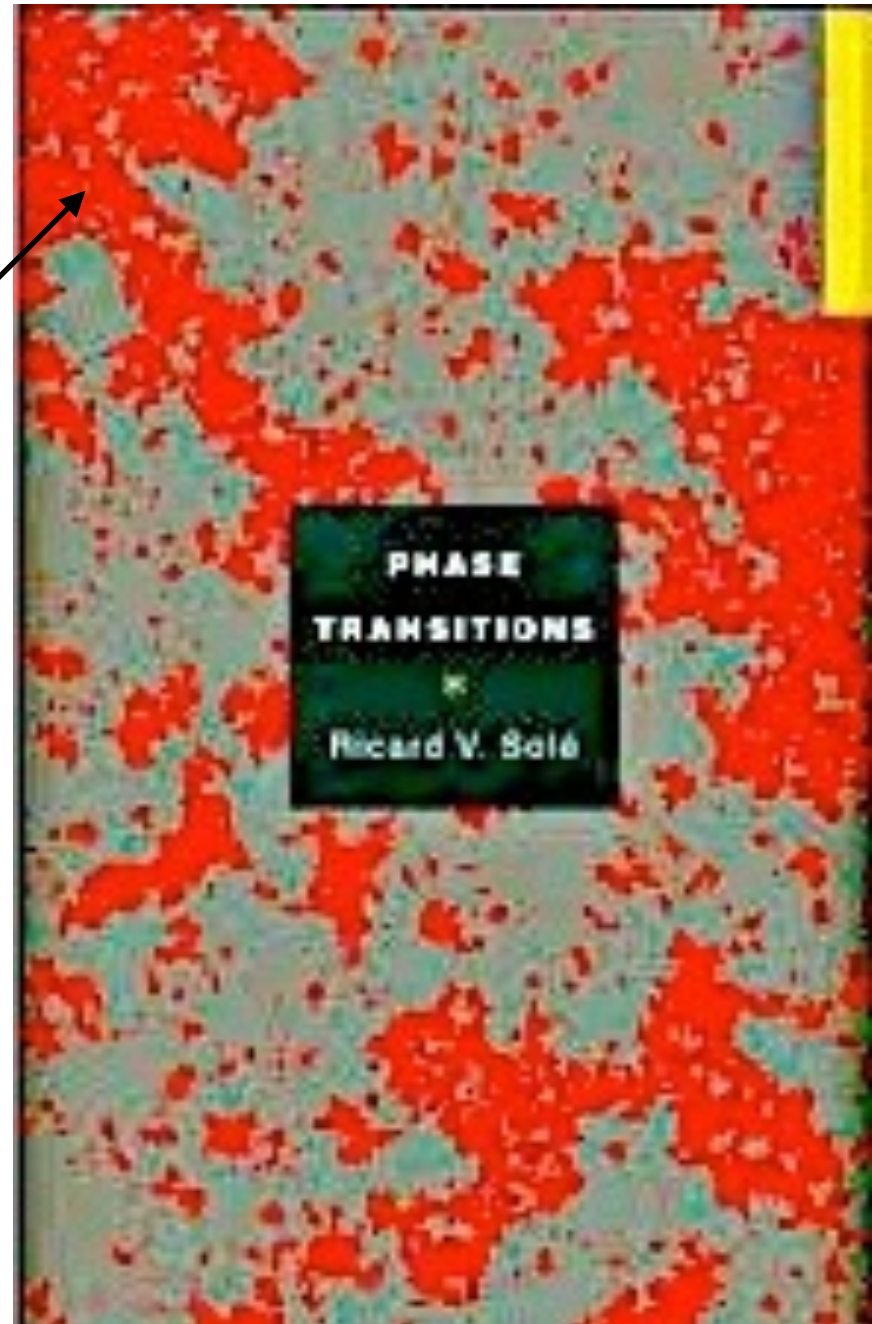
Per Bak  
(1948-2002)

# Ricard V. Solé

Among chapter titles:

- **Phase change**
- Bifurcations
- Percolation
- Life origins
- Virus dynamics
- Gene networks
- Ecological shifts
- Collective intelligence
- **Social collapse**

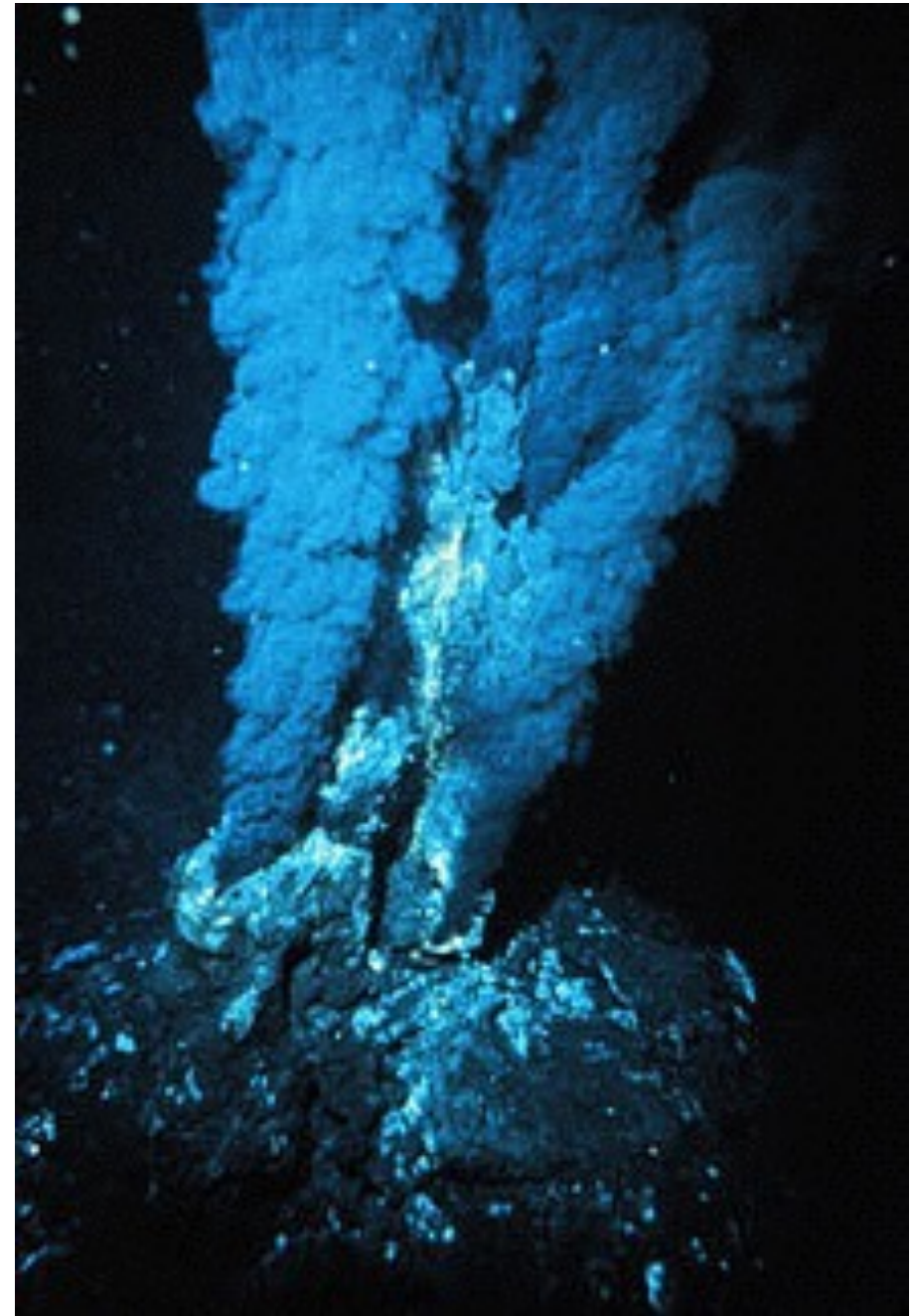
In red:  
**Ising  
domains**





# A likely life origin

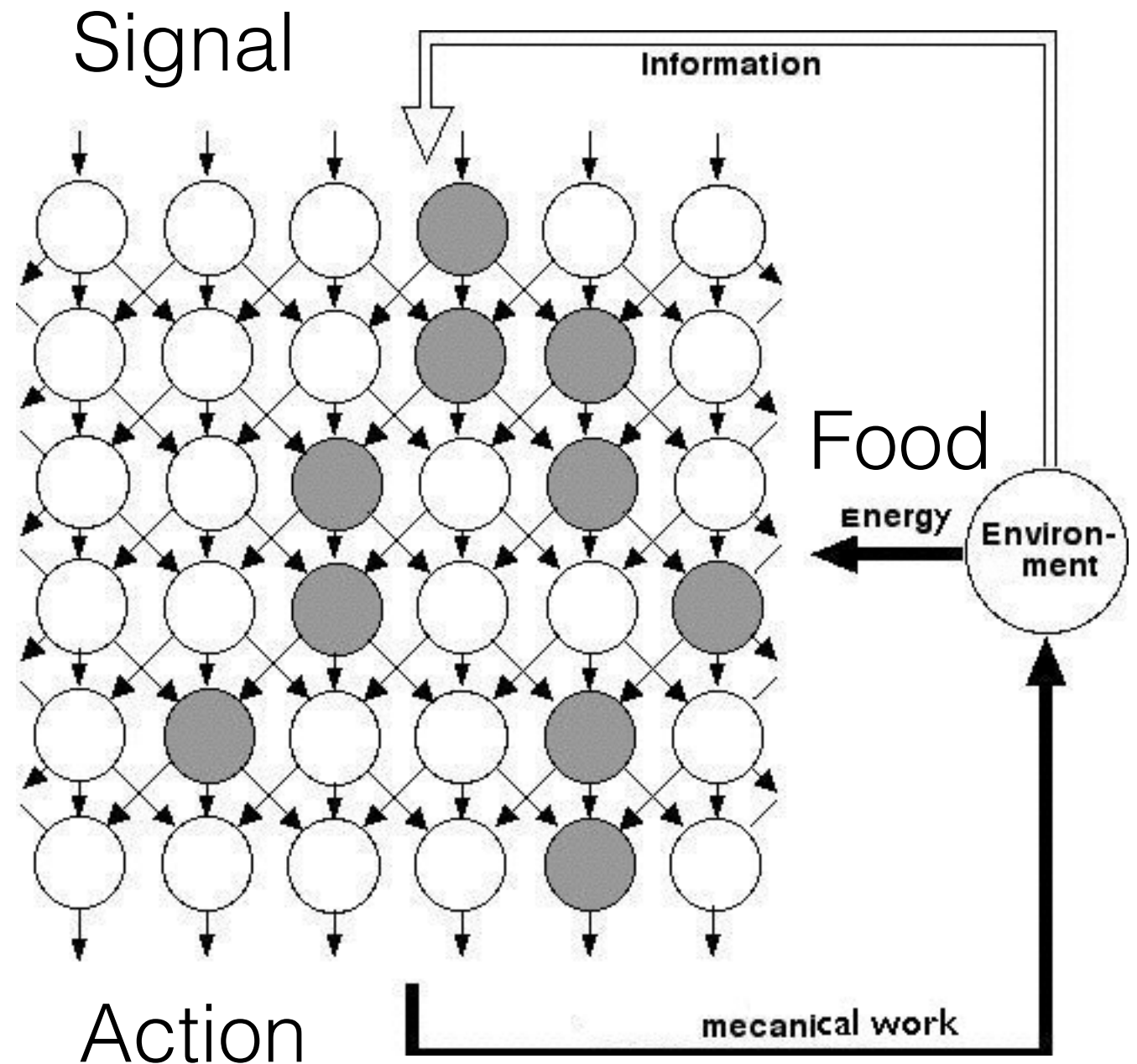
***Critical opalescence*** of water ( $374^{\circ}\text{C}$ , 217atm.) near **hydrothermal vents** may have triggered the chemical reactions at the **origin of life** because of the huge surface/volume ratio favoring **catalysis**.  
*Ingredients needed:* water, methane, hydrogen sulfide, ammonia.



# Stassinopoulos and Bak

*A numerical model for a brain:* The organization of the neural network is a process of **self-organized criticality**.

In gray: **Ising domains**



# Generalization

The model applies to any ensemble of "memories" exchanging **energy** and **information**, for example an ensemble of:

- molecules (fluids)
- living beings (ecosystems)
- individuals (human societies)

# Carnot's law (1824)

## (**Second principle of thermodynamics**)

**Mechanical work** can be sustainably produced only through ***cycles of transformations*** extracting heat from a **hot source** while releasing some to a **cold source**. The efficiency is a maximum when all transformations are reversible.



Sadi Carnot  
(1796-1832)

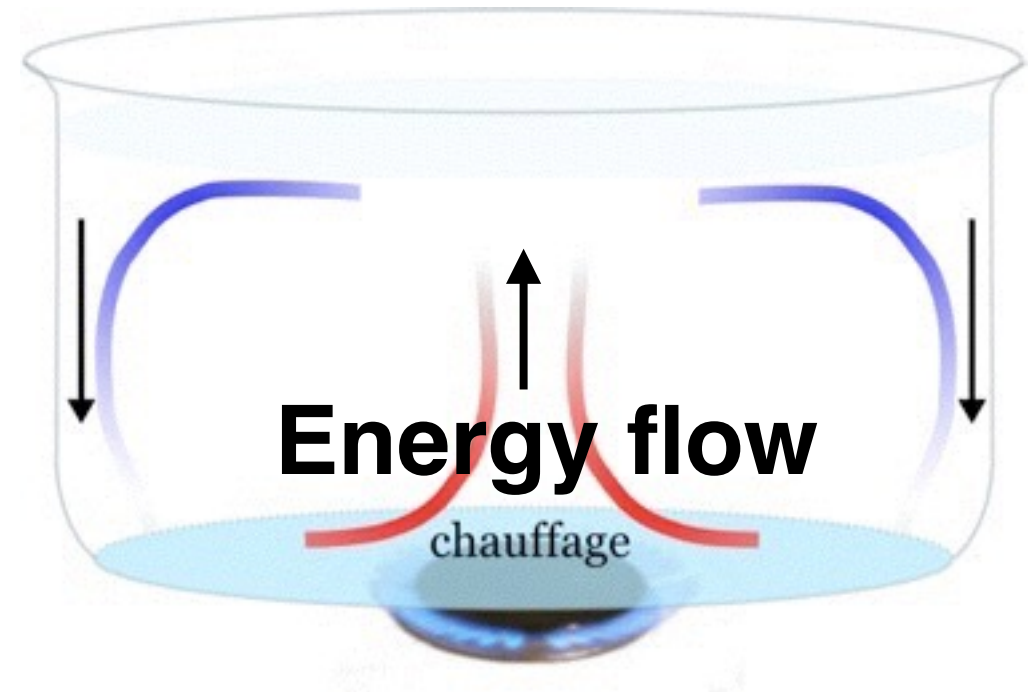
# Application to fluids

A **convective cell**  
behaves like  
a **heat engine**:

It follows cycles  
producing  
**mechanical work**:

$$W = Q_1 - Q_2$$

The **cold** source ( $T_2$ )  
receives  $Q_2$



The **hot** source ( $T_1$ )  
gives energy  $Q_1$

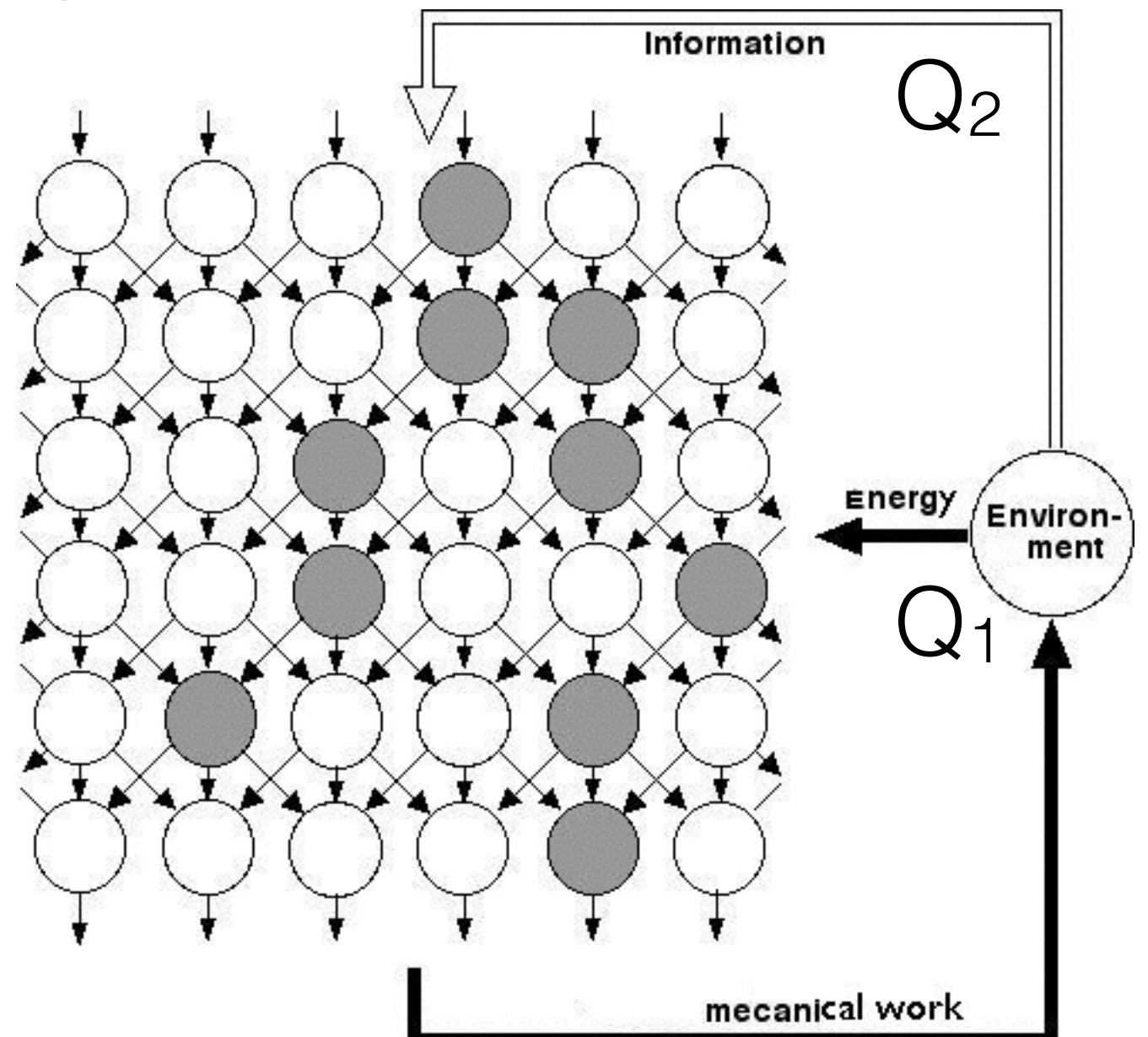


# Is a convective cell also a brain?

It feeds on a hot source to produce mechanical work.

The **entropy output**  $Q_2/T_2$  is *necessarily* associated to an **information input**.

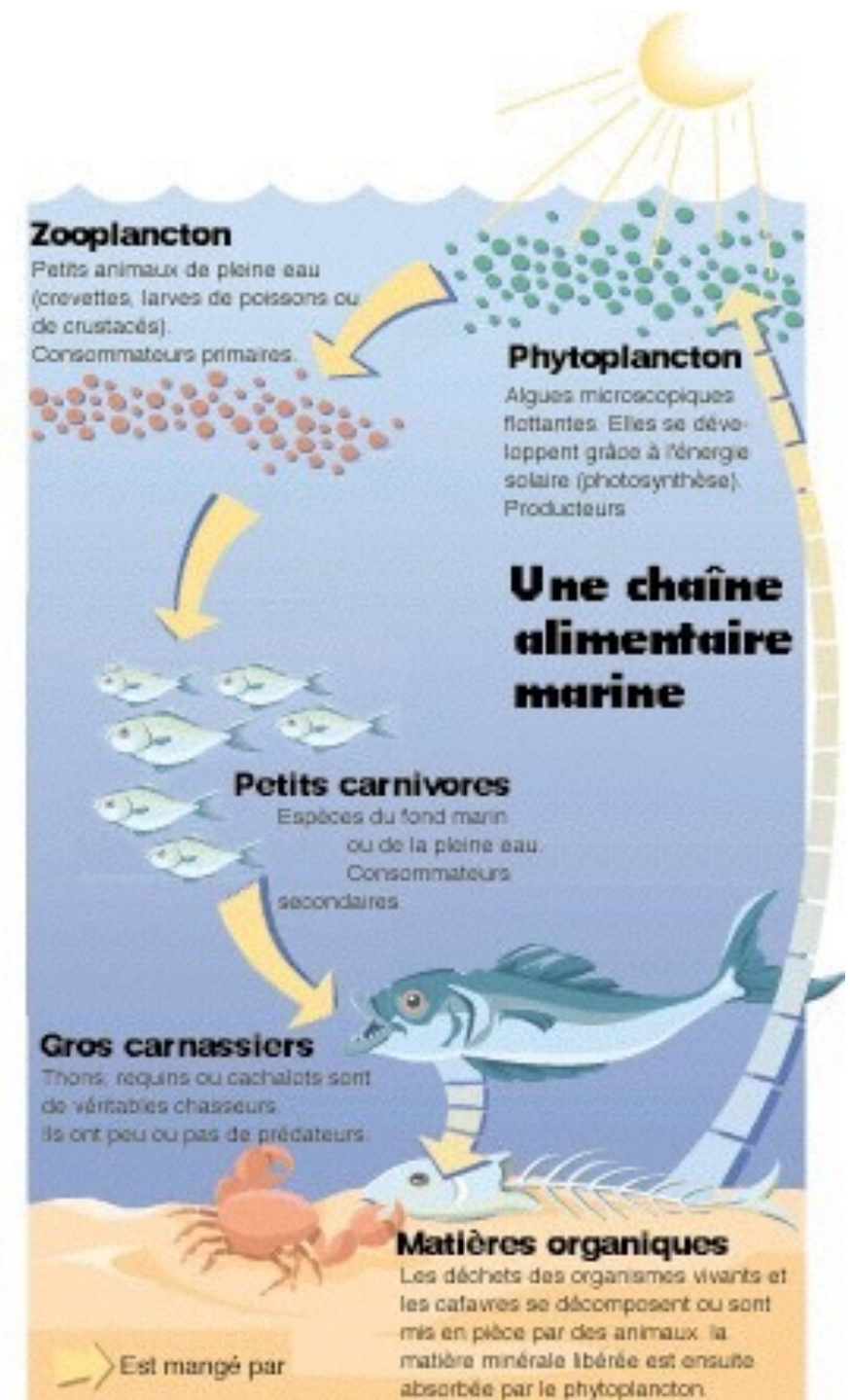
$Q_2$  is the **latent heat** for **self-organization**.



$$W = Q_1 - Q_2$$

# Application to ecosystems

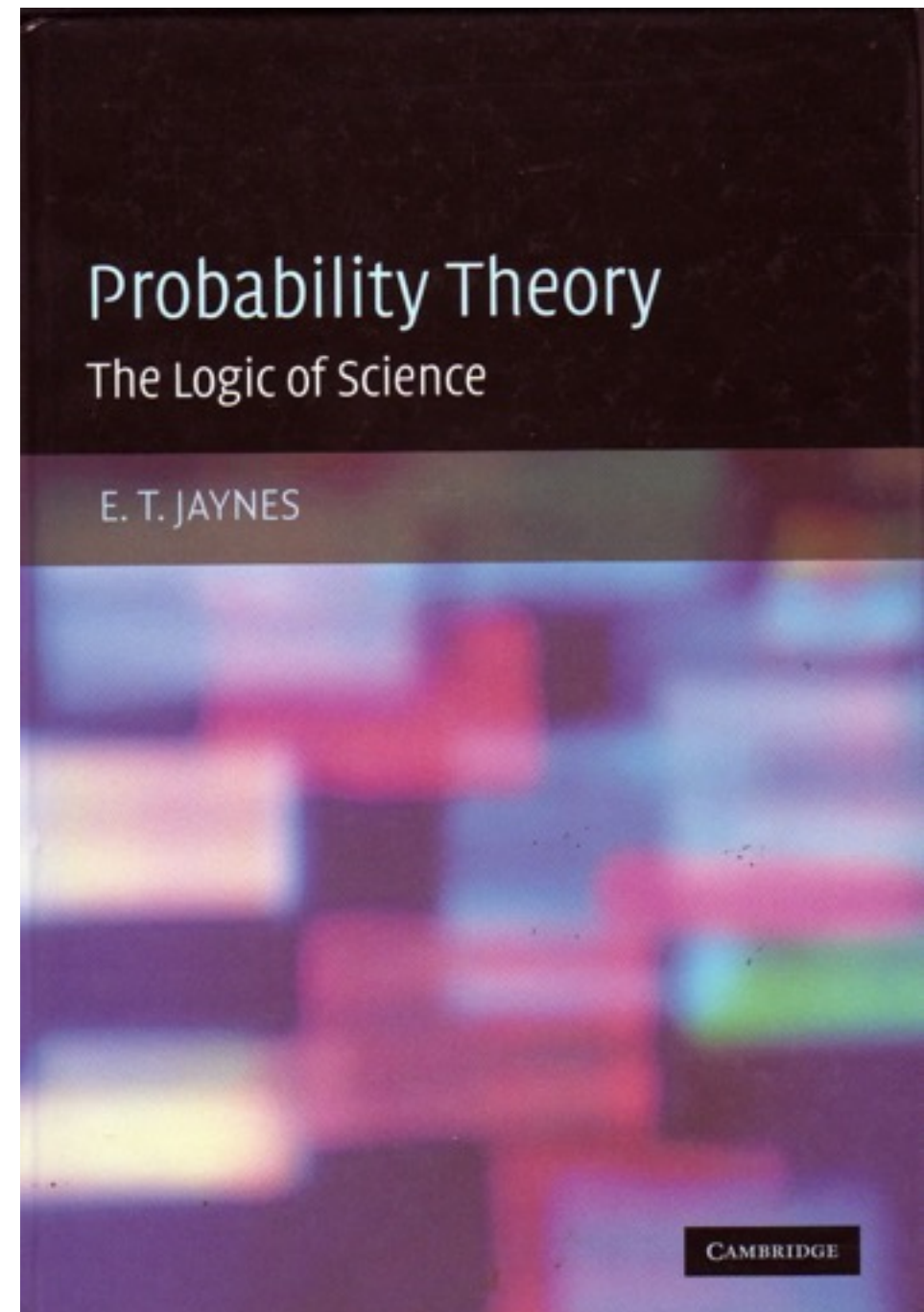
Ecosystems follow **cycles** of transformations using the **sun** as a **hot** source and the **night sky** as a **cold** source, which allows to import an **information** (from the environment) which is **stored in genes**. The heat output is the **latent heat** for self-organisation.



# Application to mankind

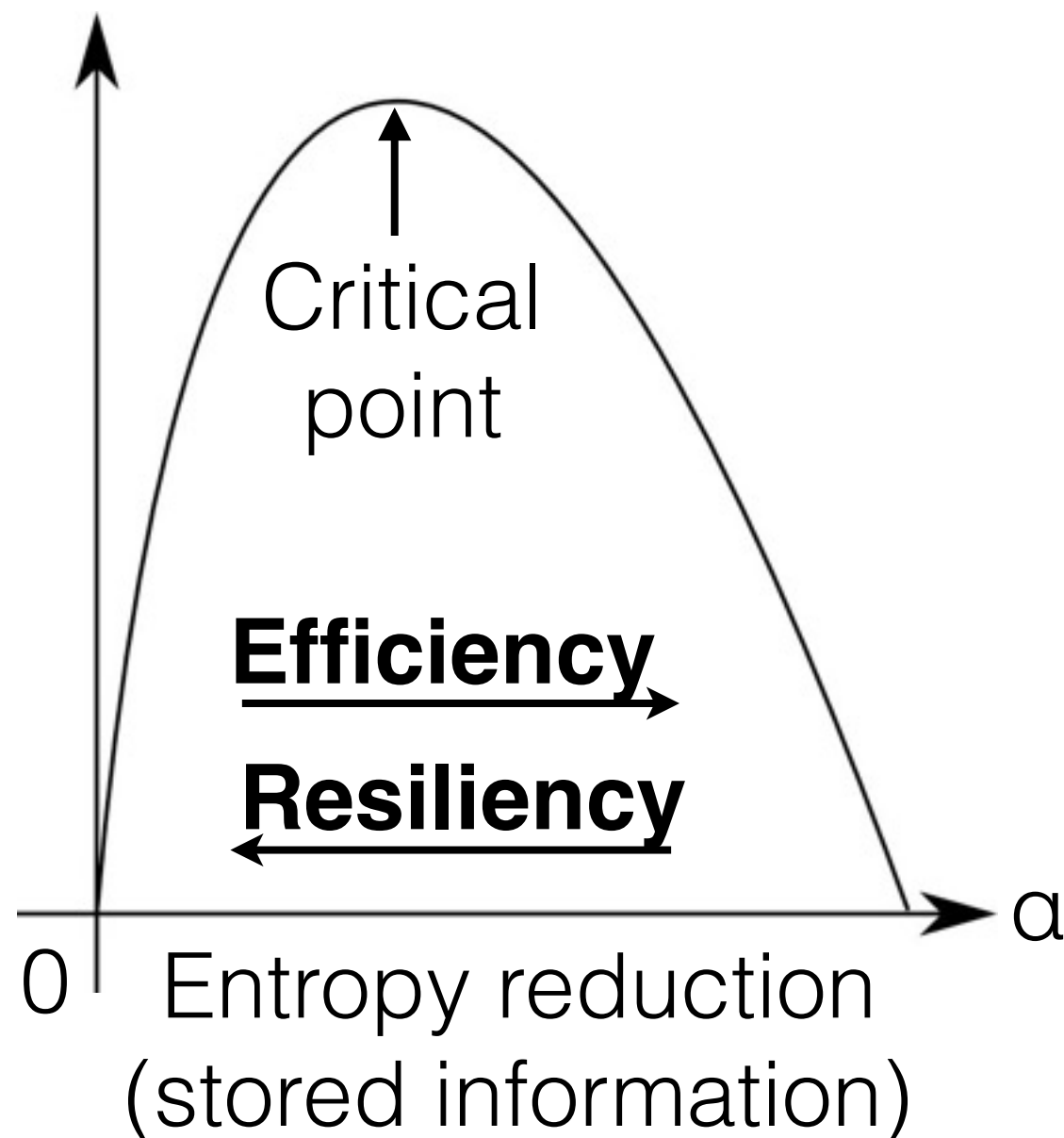
Ecosystems or mankind  
import information from  
their environment through  
Bayesian "*maximum  
entropy estimates*".

**Maximising entropy  
production, maximizes  
intelligence!**



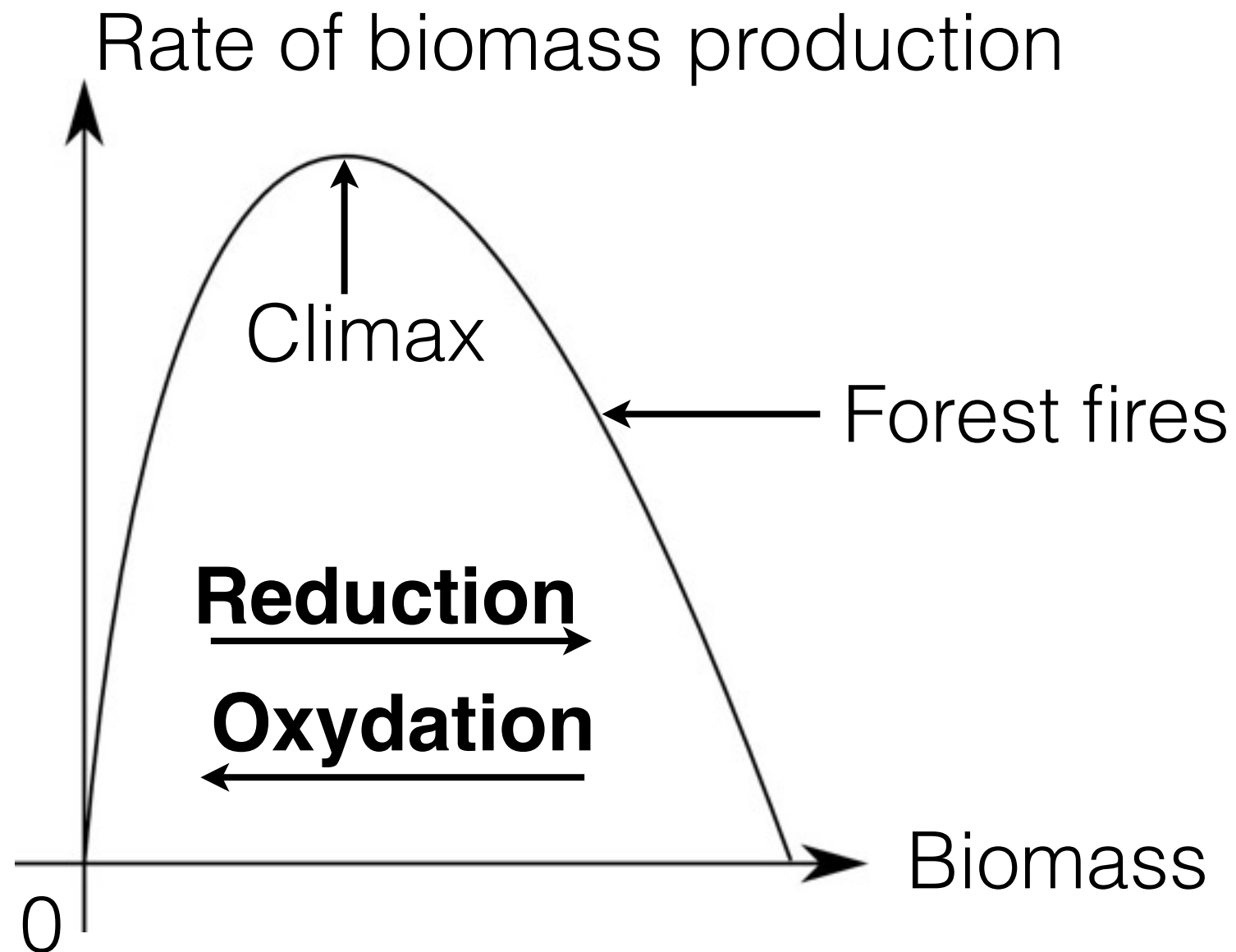
# Robert Ulanowicz

$-\alpha \log \alpha$  (Rate of entropy production)



Int. J. of Design & Nature  
and Ecodynamics. Vol. 4,  
No. 2 (2009) 83-96

# Application to biomass

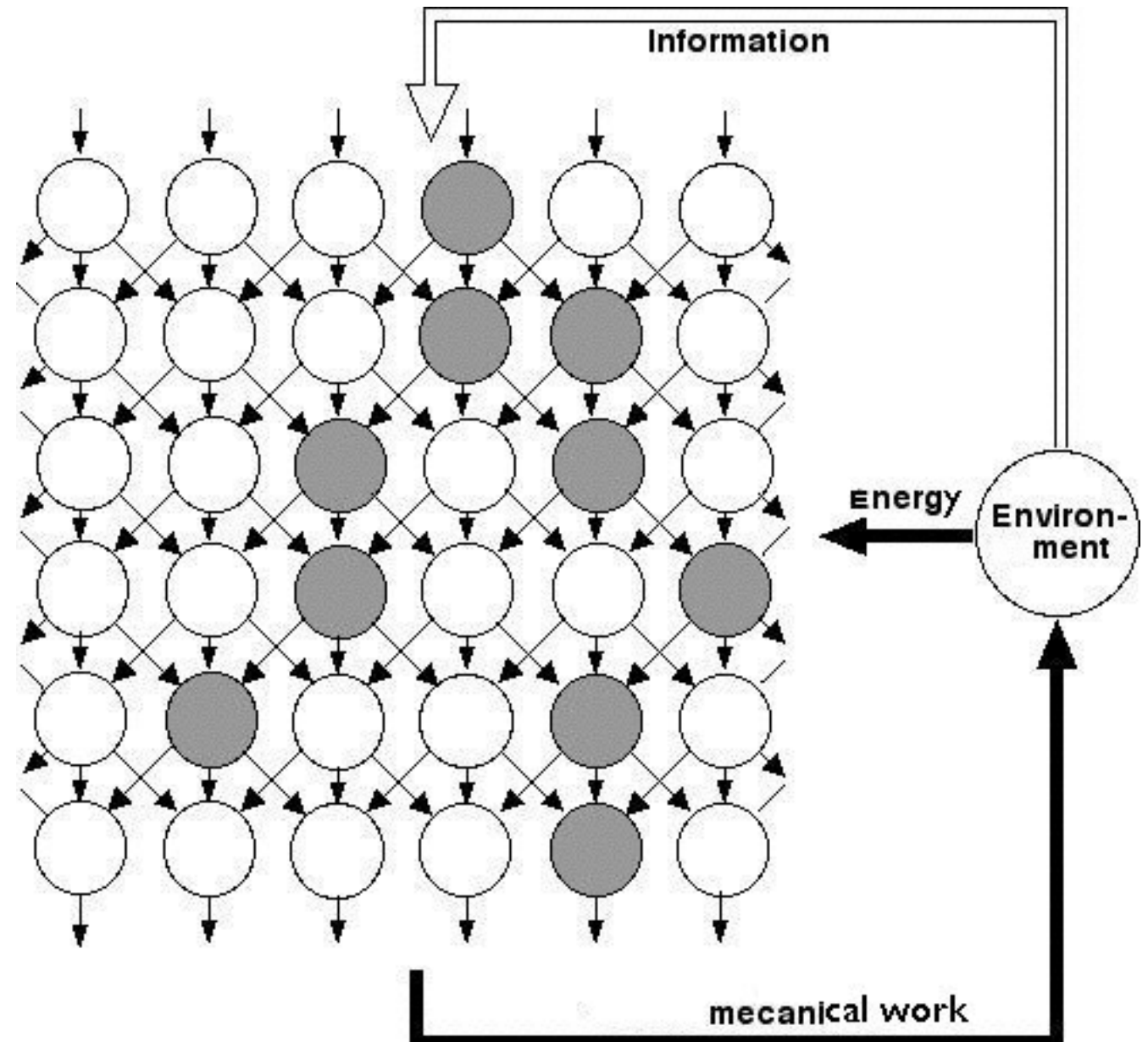




# Application to economy

The model applies to  
an ensemble of  
economic agents  
exchanging **money**.

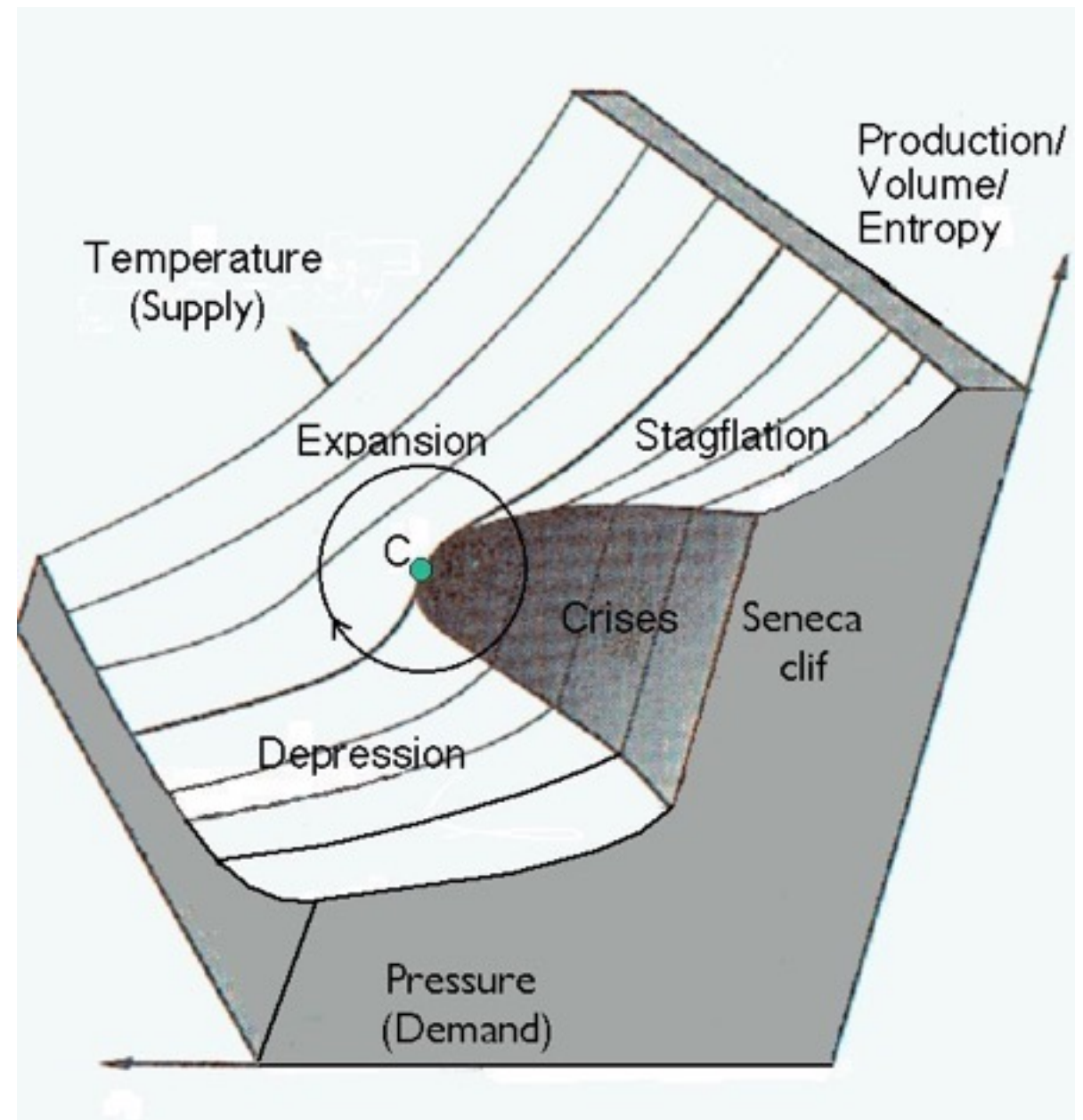
(François Roddier,  
Res Systemica,  
Volume 12, article 03)



# Application to economy

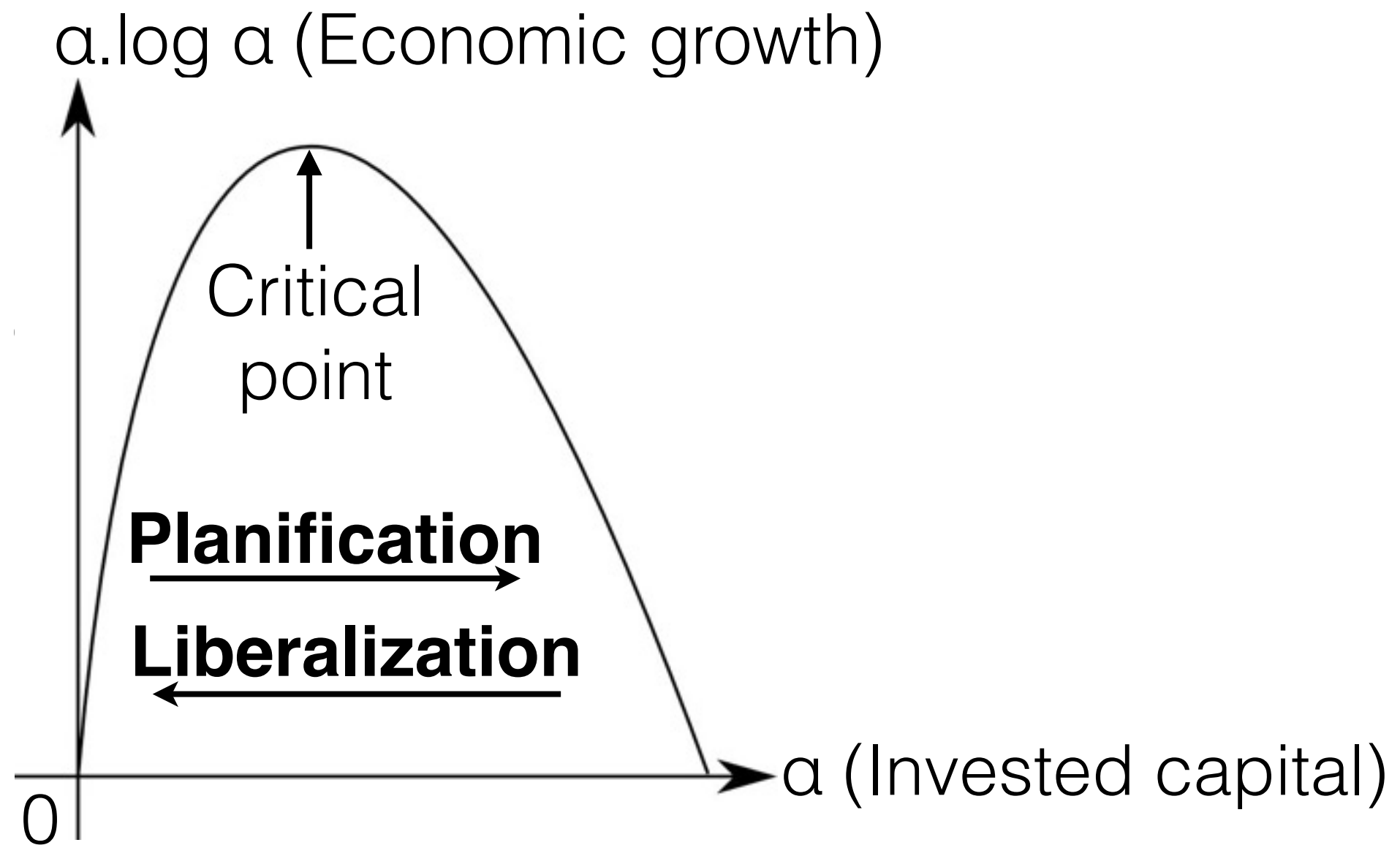
Economic cycles (Turchin & Nefedov, 2009) form around a **critical point**.

**Economic crises** appear during the phase in which the **society reorganizes itself** to *adapt to the environmental changes it has produced*.



François Roddier, Res  
Systemica, Vol. 14, 01

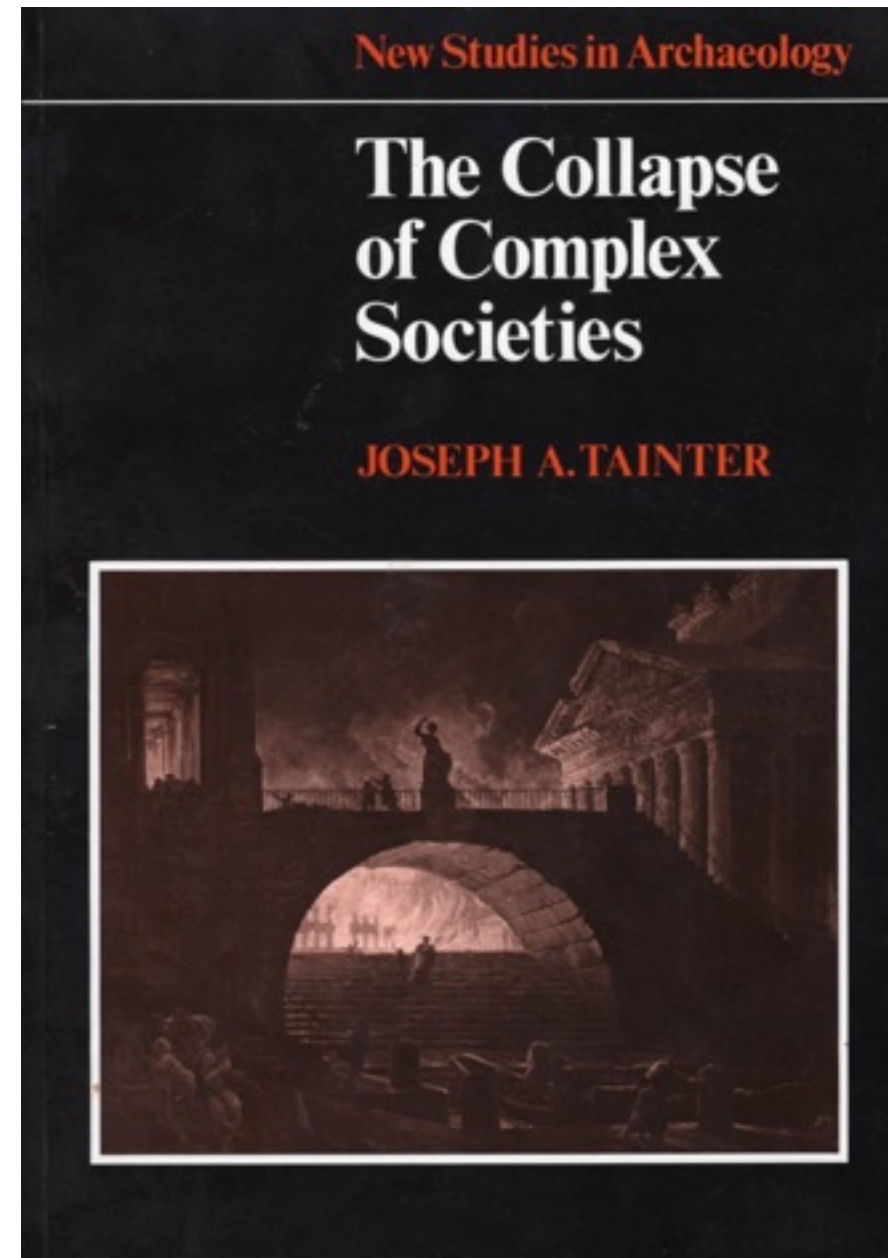
# Application to economy





# Joseph Tainter

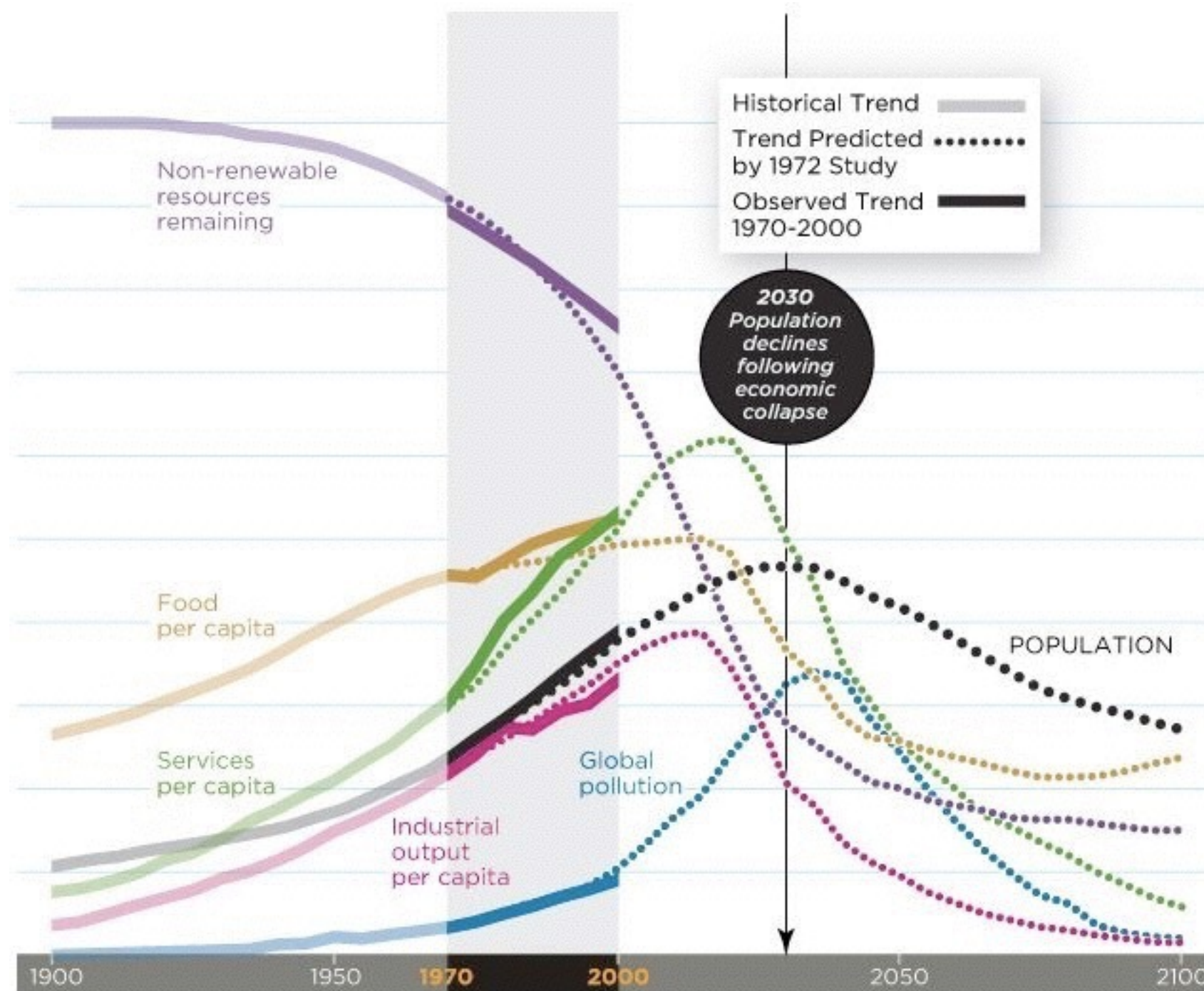
When a society becomes too **complex** ( $\alpha \geq 1/e$ ), its **efficiency** ( $-\log \alpha$ ) becomes smaller than unity and it collapses.  
*When Tainter published his book (1988), Ulanowicz had not yet discovered his law!*



# Application to economy

**Ulanowicz'**  $-a \cdot \log a$  law must be compared to **Verhulst's** parabola  $rN(1-N/K)$ . **Verhulst's** society seeks to renew the energy source to which it is adapted. That of **Ulanowicz** adapts to new resources. At Neolithic man adapted himself to new foods (bread and milk).

# What is going to happen?



# What is Gaïa?

Gaïa consists of all the structures that **dissipate solar energy** on Earth: the earth itself, its atmosphere, its oceans, its ecosystems and mankind.



# Property of Gaïa:

Each part of Gaïa affects its own environment, but *not their ensemble*.  
The **stability of the outer space** allows Gaïa to regulate itself in order to maximise energy dissipation (**homeostasis**).

# Property of Gaïa:

In order to *maximize energy dissipation*, Gaïa produces living beings capable to store ever more information. **It fosters intelligence.**

# The evolution of mankind

The **enlightment** is due to the invention of *typography*. Today mankind stores information through *computers*.

**The internet forms a neural network.**

# The evolution of mankind

*By altering its environnement, mankind has severely altered Gaïa's previous state (holocène). It's new state (anthropocène) requires a deep *cultural evolution* of mankind and a deep *physical evolution* of the ecosystems that go with it.*

**It is a learning process.**



# Conclusion

With Gaïa, mankind becomes *conscious* it is in charge of the ecosystems and the Earth's atmosphere, in the same way an individual brain become conscious it is in charge of its own body to feed it and keep it in good health:

**The proposed SOS Treaty  
is one of the manifestation.**

The end

# Nuclear energy:

Lovelock's proposal to use nuclear energy is **unfortunate** and **unwelcome** because  
*nuclear energy*:

- Produces **wastes** that cannot be recycled.
- Produces **stocks instead of flows**.

# Suggested readings:

- **Jacques Blamont**, Introduction au siècle des menaces, Odile Jacob (2004)
- **André Lebeau**, L'engrenage de la technique. Essai sur une menace planétaire, Gallimard (2005)
- **Roger-Maurice Bonnet, Lodewijk Woltjer**. Surviving 1.000 centuries. Can we do it? Springer-Praxis (2008)
- **André Lebeau**, L'enfermement planétaire. Gallimard (2008)
- **André Lebeau**, Les horizons terrestres. Réflexions sur la survie de l'humanité. Gallimard (2011)
- **François Roddier**, Thermodynamique de l'évolution. Parole (2012)